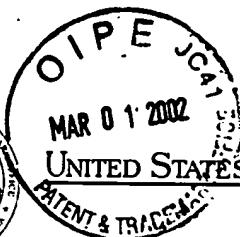


#3



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,486	06/22/2001	Edward J. Hogan	AP33454-070457.1023

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 6096

FORMALITIES LETTER



OC000000006439059

Date Mailed: 08/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

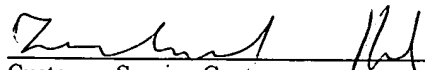
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

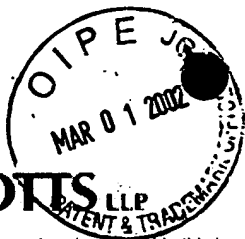
PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 BABRAHA1 00000081 09886486

01 FC:105

130.00 OP

BAKER BOTTS LLP



Please type a plus sign (+) inside this box → ☐

Section #
#3

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/886,486
Filing Date June 22, 2001
First Named Inventor Edward J. Hogan
Group Art Unit 2167
Examiner Name
Attorney Docket Number AP33454-070457.1023

Total Number of Pages in This Submission 12

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts/
Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers
(for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence
Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication
to Group
<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input type="checkbox"/> Other Enclosure(s) (please
identify below): |
|---|---|--|

Remarks ☐

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name BakerBotts LLP
30 Rockefeller Plaza
New York, NY 10112

Signature

Att Name: Robert C. Scheinfeld
PTO Reg: 31,300

Date

February 18, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 18, 2002

Typed or printed name

Robert C. Scheinfeld

Signature

Date February 18, 2002

BAKER BOTTS LLP

Attorney Docket Number: AP33454-070457.1023

Title: An Improved Method and System for Conducting Secure Payments Over a Computer Network
Without a Pseudo or Proxy Account Number



Use Space Below for Additional Information:

BAKER BOTTS



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1,570

Complete if Known

Application Number	09/886,486
Filing Date	June 22, 2001
First Named Inventor	Edward J. Hogan
Examiner Name	
Group Art Unit	2167
Attorney Docket No.	AP33454-070457.1023

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

02-4377

Deposit
Account
Name

Baker Botts LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee

Fee

Fee Description

Fee Paid

740

370

Utility filing fee

330

165

Design filing fee

510

255

Plant filing fee

740

370

Reissue filing fee

160

80

Provisional filing fee

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	20	**	=	0	x	Fee from below	=	0
Independent Claims	3	**	=	0	x	Fee from below	=	0
Multiple Dependent						Fee from below	=	

Large Entity Small Entity

Fee

Fee

Fee Description

18

9

Claims in excess of 20

84

42

Independent claims in excess of 3

280

140

Multiple dependent claim, if not paid

84

42

** Reissue independent claims
over original patent

18

9

** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2)

(\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large
Entity
Fee
(\$)Small
Entity
Fee
(\$)

Fee Description

Fee Paid

130

65

Surcharge - late filing fee or oath

130

50

25

Surcharge - late provisional filing fee or
cover sheet

130

130

Non-English specification

2,520

2,520

For filing a request for *ex parte* reexamination

920*

920*

Requesting publication of SIR prior to
Examiner action

1,840*

1,840*

Requesting publication of SIR after
Examiner action

110

55

Extension for reply within first month

400

200

Extension for reply within second month

920

460

Extension for reply within third month

1,440

720

Extension for reply within fourth month

1,440

1,960

980

Extension for reply within fifth month

320

160

Notice of Appeal

320

160

Filing a brief in support of an appeal

280

140

Request for oral hearing

1,510

1,510

Petition to institute a public use proceeding

110

55

Petition to revive - unavoidable

1,280

640

Petition to revive - unintentional

1,280

640

Utility issue fee (or reissue)

460

230

Design issue fee

620

310

Plant issue fee

130

130

Petitions to the Commissioner

50

50

Processing fee under 37 CFR 1.17(q)

180

180

Submission of Information Disclosure Stmt

40

40

Recording each patent assignment per
property (times number of properties)

740

370

Filing a submission after final rejection
(37 CFR § 1.129(a))

740

370

For each additional invention to be
examined (37 CFR § 1.129(b))

740

370

Request for Continued Examination (RCE)

900

900

Request for expedited examination
of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1,570

SUBMITTED BY

Name (Print/Type)

Robert C. Scheinfeld

Registration No.
(Attorney/Agent)

31,300

Complete (if applicable)

Telephone

212-408-2512

Signature

Date

February 18, 2002

WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.